

# **EXHIBIT D**

E-MINI FUTURES CLASS ACTION SETTLEMENT  
SETTLEMENT ADMINISTRATOR  
C/O A.B. DATA, LTD.  
P.O. BOX 173122  
MILWAUKEE, WI 53217



**NOTICE OF REJECTION OF CLAIM**

**DATE:** November 22, 2022  
**RE:** *Boutchard v. Gandhi et al.*, No. 18-cv-07041 (N.D. Ill.)  
**CLAIM NUMBER:** [REDACTED]  
**RESPONSE DEADLINE:** December 13, 2022

Dear Claimant:

We have processed the Proof of Claim and Release Form ("Claim") that you submitted in connection with the settlement achieved in the above-referenced litigation (the "Settlement"). Based on our review, your Claim is ineligible for a recovery from the Settlement for the reason(s) listed below. Please note that some ineligibility condition(s) are curable. To resolve any identified curable condition(s), please follow the instructions below.

**Inadequate or Missing Documentation for Entire Claim**

This Claim did not include any documentation to support the information you provided, or the documentation previously provided was deemed inadequate.

To resolve this deficiency, please submit acceptable documentation to support your transactions in E-Mini Index Futures and/or Options on E-Mini Index Futures for your entire Claim. Please do not merely resubmit the same documentation that you previously submitted. Acceptable documentation consists of copies of brokerage confirmation slips, monthly brokerage account statements, or an authorized statement from your broker containing the transactional information found in a broker confirmation slip or account statement. For each transaction, the documentation must include the trade date; the number of E-Mini Index Futures contracts and/or Options on E-Mini Index Futures; contract or option price; and the total price for the transaction. Examples of unacceptable documentation include spreadsheets from your personal records; statements that are missing pertinent information or missing the account holder's name; and printouts from broker websites that do not include the required information.

For this Claim to be eligible, the identified curable conditions of ineligibility must be resolved, and the Claim must then calculate to a Transaction Claim Amount under the Court-approved Distribution Plan. To resolve the ineligibility condition(s), you must submit a written response with any required information as specified above, postmarked no later than the response deadline printed above. Please include a copy of this notice with your

response. **If you fail to respond by the response deadline printed above, or if your response fails to cure the condition(s) identified above, your Claim will be rejected in its entirety. Please note that this is the only notice you will receive with respect to this Claim.**

If you disagree with this determination to reject this Claim, you may contact us for assistance and/or to contest the rejection of your Claim. To contest the rejection of your Claim, by the response deadline above, you must send us a signed, written statement that states your reason(s) for contesting the rejection of this Claim, along with any supporting documentation. If the dispute concerning your Claim cannot be otherwise resolved, your Claim will be presented to Class Counsel and/or the Court for resolution.

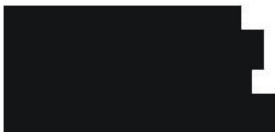
If you have any questions about this notice or if you want to confirm the status of your Claim after you submit a response to this notice, please contact us at 1-877-933-3281 (out or email us at [info@eminifuturesclassactionsettlement.com](mailto:info@eminifuturesclassactionsettlement.com)). Please reference the Claim Number listed above in any communication. You may view and download various court documents by visiting the website, [www.eminifuturesclassactionsettlement.com](http://www.eminifuturesclassactionsettlement.com).

Very truly yours,

A.B. DATA, LTD.  
Settlement Administrator

E-MINI FUTURES CLASS ACTION SETTLEMENT  
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MILWAUKEE, WI 53217

FIRST CLASS MAIL  
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**NOTICE OF CALCULATION OF CLAIM**

**DATE:** October 25, 2023  
**RE:** *Boutchard v. Gandhi et al.*, No. 18-cv-07041 (N.D. Ill.)  
**CLAIM NUMBER:** [REDACTED]  
**TRANSACTION CLAIM AMOUNT:** 0.00

Dear Claimant:

We have processed your Proof of Claim and Release Form ("Claim") submitted in connection with the settlement achieved in the above-referenced litigation (the "Settlement").

Based upon the Court-approved Distribution Plan (available on [www.eminifuturesclassactionsettlement.com](http://www.eminifuturesclassactionsettlement.com)), the E-Mini Index Futures and Options transactions in your Claim calculate to a Transaction Claim Amount of 0.00.

Your Claim also contained transaction-level deficiencies as listed in the attachment to this letter.

If you believe the calculation of your Transaction Claim Amount set forth above and/or any transaction-level deficiency identified in the attachment is incorrect, **within twenty (20) days of the date of this notice**, you must submit a signed written statement that states your reason(s) for contesting this determination along with documentation supporting a different Transaction Claim Amount under the Distribution Plan and/or resolving the deficiency to the mailing address listed above or through email to [info@eminifuturesclassactionsettlement.com](mailto:info@eminifuturesclassactionsettlement.com). Please include a copy of this notice with your written response.

The Settlement Administrator will review any information you submit in response to this determination. If there remains a dispute concerning the Settlement Administrator's determination of your Claim, such dispute will be presented to the Court for binding resolution.

Lead Counsel will file a motion for Court approval to distribute the Net Settlement Fund (the "Distribution Motion") after claimants have had an opportunity to respond to the determination from the Settlement Administrator and all Claims have been finalized. The Distribution Motion will be posted on the Settlement website ([www.eminifuturesclassactionsettlement.com](http://www.eminifuturesclassactionsettlement.com)). Claimants may file objections to the determination of their Claims or otherwise make submissions to the Court in response to the Distribution Motion.

The Net Settlement Fund will be distributed on a *pro rata* basis to Authorized Claimants. If you are eligible to receive a payment from the Net Settlement Fund, your *pro rata* fraction will be determined by dividing your

Transaction Claim Amount by the sum of all Transaction Claim Amounts for all eligible claims. The *pro rata* fraction is then multiplied by the Net Settlement Fund to determine your *pro rata* share. We do not yet know what this *pro rata* fraction will be.

If you have any questions, please contact the Settlement Administrator at 877-933-3281 (or from outside the United States or Canada, 1-414-961-7838) or email your questions to [info@eminifuturesclassactionsettlement.com](mailto:info@eminifuturesclassactionsettlement.com). Please reference the claim number listed above in any communication. Please continue to monitor the official website for the Settlement, [www.eminifuturesclassactionsettlement.com](http://www.eminifuturesclassactionsettlement.com), for important updates, including the anticipated initial Distribution Motion.

Sincerely,

A.B. DATA, LTD.  
Settlement Administrator